

# Regularity for the 3D evolution Navier-Stokes equations under Navier boundary conditions in some Lipschitz domains

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## Abstract

For the evolution Navier-Stokes equations in bounded 3D domains, it is well-known that the uniqueness of a solution is related to the existence of a regular solution. They may be obtained under suitable assumptions on the data and smoothness assumptions on the domain (at least  $C^2$ ). With a symmetrization technique, we prove these results in the case of Navier boundary conditions in a wide class of merely Lipschitz domains of physical interest, that we call sectors.

## 1. Introduction

Let  $T > 0$  and let  $\Omega \subset \mathbb{R}^3$  be a bounded, open, nonempty and connected domain. The evolution 3D Navier-Stokes equations

$$u_t - \mu \Delta u + (u \cdot \nabla)u + \nabla p = f, \quad \nabla \cdot u = 0, \quad \text{in } \Omega \times (0, T), \quad (1)$$

model the motion of an incompressible viscous fluid:  $u$  is its velocity,  $p$  its pressure,  $f$  is an external force,  $\mu > 0$  is the kinematic viscosity. The equations (1) are complemented with some initial and boundary conditions, the most common being the homogeneous Dirichlet conditions ( $u = 0$  on  $\partial\Omega$ ), also called no-slip boundary conditions. In 1827, Navier [16] proposed conditions with friction, in which there is a stagnant layer of fluid close to the wall allowing a fluid to slip. The homogeneous Navier boundary conditions read

$$u \cdot \nu = (D u \cdot \nu) \cdot \tau = 0 \quad \text{on } \partial\Omega, \quad (2)$$

where  $\nu$  is the outward normal vector to  $\partial\Omega$  while  $\tau$  is tangential. The boundary conditions (2) turn out to be appropriate in many physically relevant cases, see e.g. [6], in particular in presence of turbulent boundary layers [9]. The first contribution (in 1973) to (1)-(2) is due to Solonnikov-Scadilov [17]. For regularity results, see [1, 2, 4, 6, 7].

We put  $Q_T := \Omega \times (0, T)$  and we consider (1) in  $Q_T$ , complemented with (2) and initial conditions:

$$\begin{cases} u_t - \mu \Delta u + (u \cdot \nabla)u + \nabla p = f & \text{in } Q_T, \\ \nabla \cdot u = 0 & \text{in } Q_T, \\ u \cdot \nu = (D u \cdot \nu) \cdot \tau = 0 & \text{on } \partial\Omega \times (0, T), \\ u(x, y, z, 0) = u_0(x, y, z) & \text{in } \Omega, \end{cases} \quad (3)$$

in which the pressure  $p$  is defined up to an additive constant so that we fixed its mean value  $\int_{\Omega} p(t) = 0$  for all  $t \in (0, T)$ .

We are interested in existence and, possibly, uniqueness of the solution of (3); it is well-known [18] that uniqueness is strictly related with the regularity of the solution. Under Dirichlet boundary conditions, this requires a  $C^2$ -boundary. Under Navier boundary conditions,  $\Omega$  needs to have a  $C^{2,1}$ -boundary, see [2, 4, 5], because of the appearance of derivatives in (2), whose traces are defined when  $\partial\Omega \in C^{2,1}$ . However, many domains of physical and engineering interest fail to be smooth. This is the case of a pipe bifurcation in a water grid, of a joint in a network of oil pipelines, of the section of a vein containing blood, of a half-ball representing a drop of water on an impermeable table, of a half circular cylinder modeling a road tunnel, of a bottle containing wine, see Figure 1.

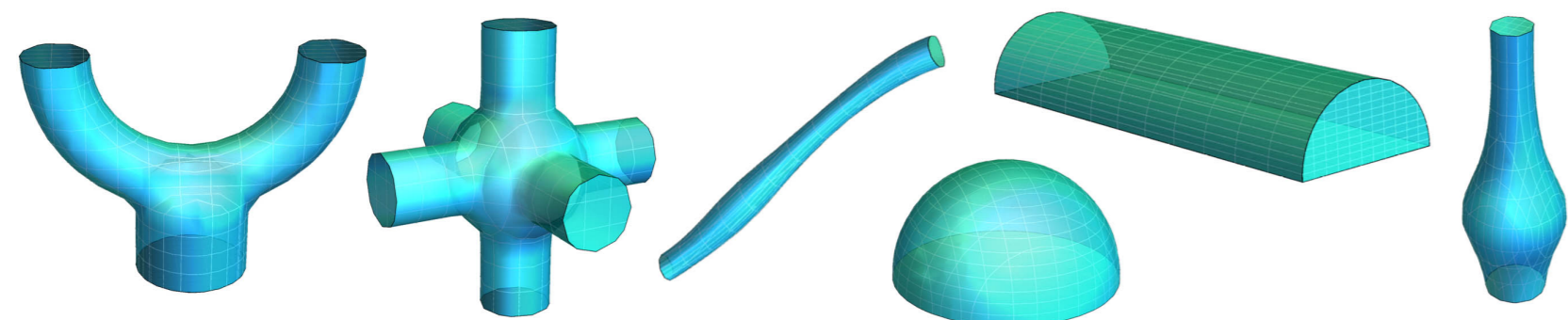


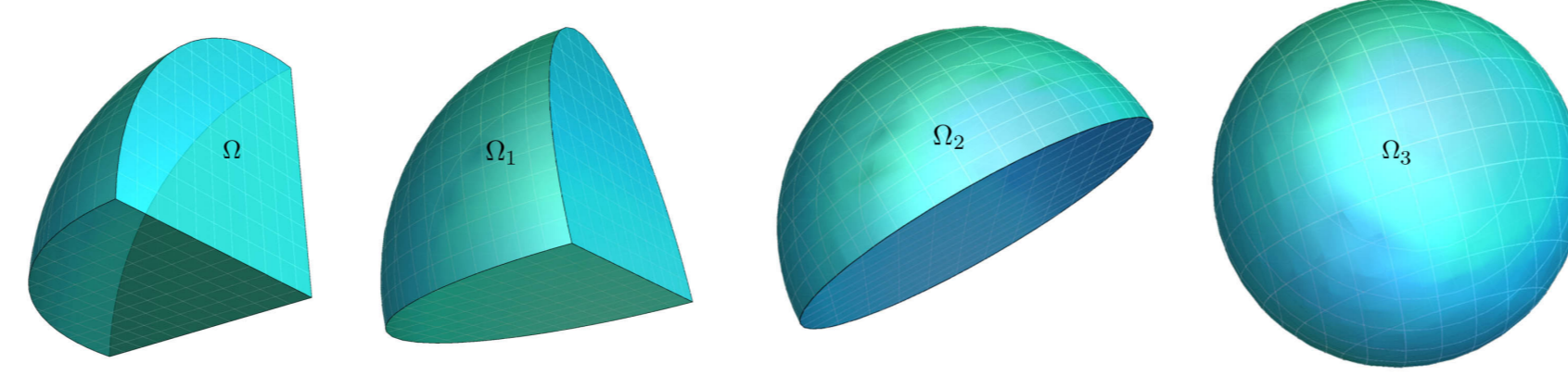
Figure 1: From left to right: a pipe bifurcation, a joint, a vein, a drop, a tunnel, a bottle.

The main purpose is to prove regularity and uniqueness results for (3) in a suitable class of merely Lipschitz domains (the sectors); this class includes all the domains in Figure 1. For the proofs we take advantage of the reflection method introduced in [11] for the Euler equations and subsequently applied in [3, 12] to the Navier-Stokes equations. The reflection is possible because we have Navier boundary conditions; under Dirichlet boundary conditions the same argument does not hold. With the very same technique, in the unforced case  $f \equiv 0$  we also extend classical uniqueness results for small data [13, 10] and the Leray principle [14, 15].

## 2. Intuitive definition of sectors

### • Sectors (A)

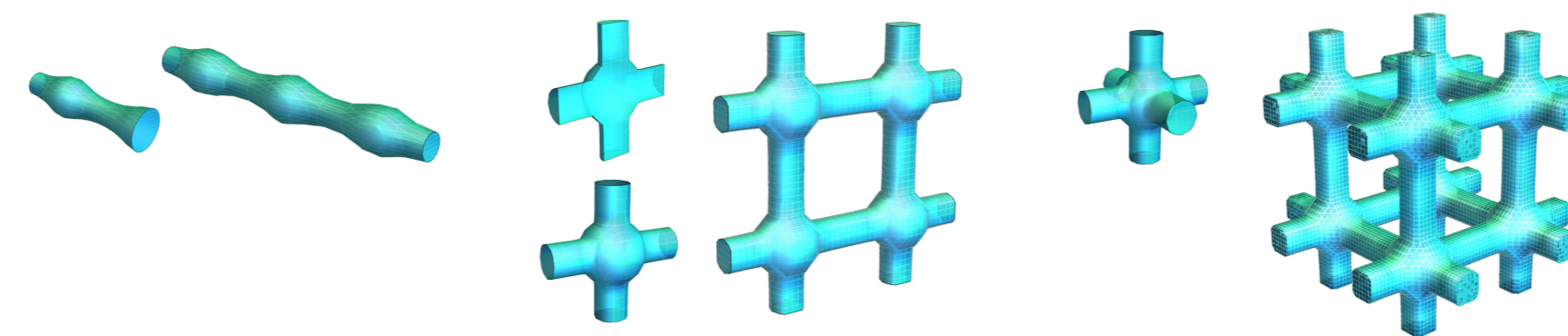
**IDEA:**  $\Omega$  can reconstruct a  $C^{2,1}$  domain through a finite number  $m \in \mathbb{N}$  of reflections



**Intuitive definition of sectors (A):** There exists a bounded  $C^{2,1}$ -domain  $\Omega_m$  having at least  $m \geq 0$  planes of symmetry; if  $m = 0$ , then  $\Omega$  has  $C^{2,1}$ -boundary ( $\Omega_0 \equiv \Omega$ ).

### • Sectors (B)

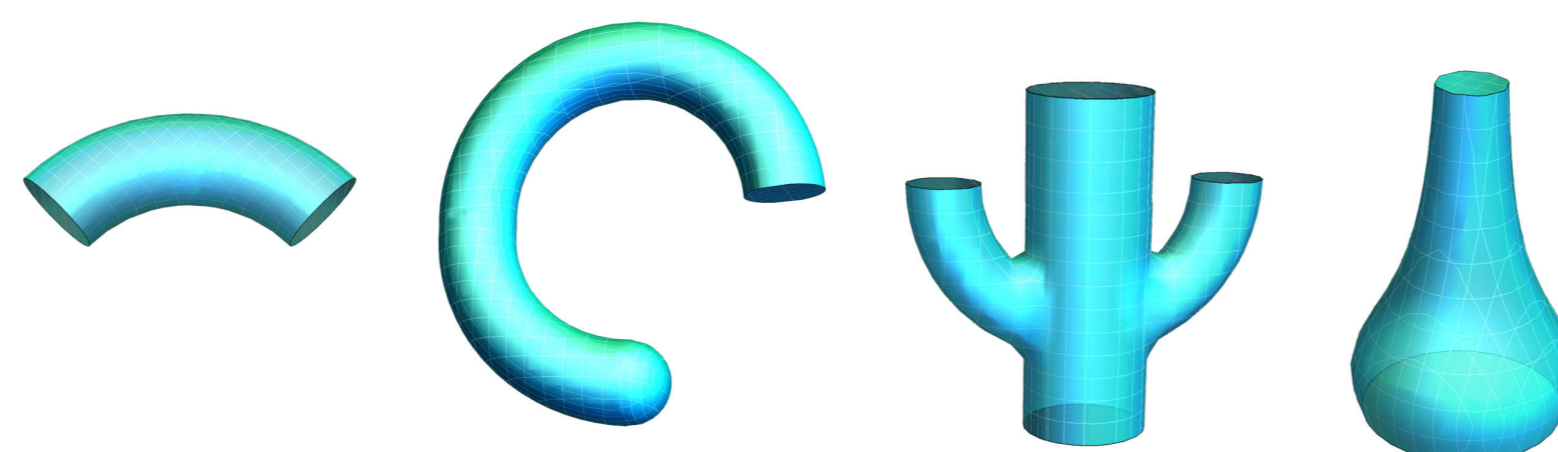
**IDEA:**  $\Omega$  can reconstruct a  $C^{2,1}$  smoothly periodically extendable domain (in 1 or 2 or 3 directions), through a finite number  $m \in \mathbb{N}$  of reflections



**Intuitive definition of sectors (B):** There exists a smoothly periodically extendable domain  $\Omega^m$  having at least  $m \geq 0$  planes of symmetry; if  $m = 0$ , then  $\Omega$  is smoothly periodically extendable ( $\Omega^0 \equiv \Omega$ ).

Domains in Figure 1 are sectors, for a rigorous definition see [8].

### • Domains that are NOT sectors



2/5 of torus,  $\Omega$  auto-intersecting, periodic extension not smooth...

## 3. Main results

Let the functional spaces

$$H = \{v \in L^2(\Omega); \nabla \cdot v = 0, v \cdot \nu = 0 \text{ on } \partial\Omega\}, \quad V = H \cap H^1(\Omega),$$

in which we denote by  $v \cdot \nu$  the normal trace of  $v$ . By [18] we know that  $H$  is a closed subspace of  $L^2(\Omega)$ ; therefore,  $V$  is a closed subspace of  $H^1(\Omega)$ . When the domain is a generic  $D$ , different from  $\Omega$ , we specify  $H(D)$ ,  $V(D)$ . We endow  $H(D)$  and  $V(D)$ , respectively, with the scalar products and norms

$$(v, w)_D := \int_D v \cdot w, \quad \|v\|_{2,D}^2 := \int_D |v|^2, \\ (\nabla v, \nabla w)_D := \int_D \nabla v : \nabla w, \quad \|\nabla v\|_{2,D}^2 := \int_D |\nabla v|^2,$$

so that  $H(D)$  and  $V(D)$  are Hilbert spaces; here  $\nabla v : \nabla w$  is the scalar product between matrices.

### Theorem 1

Let  $\Omega \subset \mathbb{R}^3$  be a sector,  $T > 0$ ,  $f \in L^2(Q_T)$  and  $u_0 \in H$ ; then (3) admits a (global) weak solution  $u \in L^\infty(0, T; H) \cap L^2(0, T; V)$ . If  $u_0 \in V$ , then there exists  $T^* > 0$  satisfying

$$0 < \frac{C\mu^5}{\left(\mu \|\nabla u_0\|_{2,\Omega}^2 + \|f\|_{2,Q_T}^2\right)^2} \leq T^* \leq T,$$

with  $C > 0$  depending only on  $\Omega$ , such that the weak solution  $u$  of (3) is unique in  $[0, T^*)$  and

$$u \in L^\infty(0, T^*; V) \quad u_t, \Delta u, \nabla p \in L^2(Q_{T^*}).$$

We also extend to sectors and conditions (2) some uniqueness and regularity results for the unforced equation that, by now, are classical statements under Dirichlet boundary conditions.

### Theorem 2

Let  $\Omega \subset \mathbb{R}^3$  be a sector and assume that  $f \equiv 0$ . There exists  $C > 0$ , depending only on  $\Omega$ , such that if  $u_0 \in V$  and

$$\|u_0\|_{2,\Omega} \|\nabla u_0\|_{2,\Omega} < C\mu^2,$$

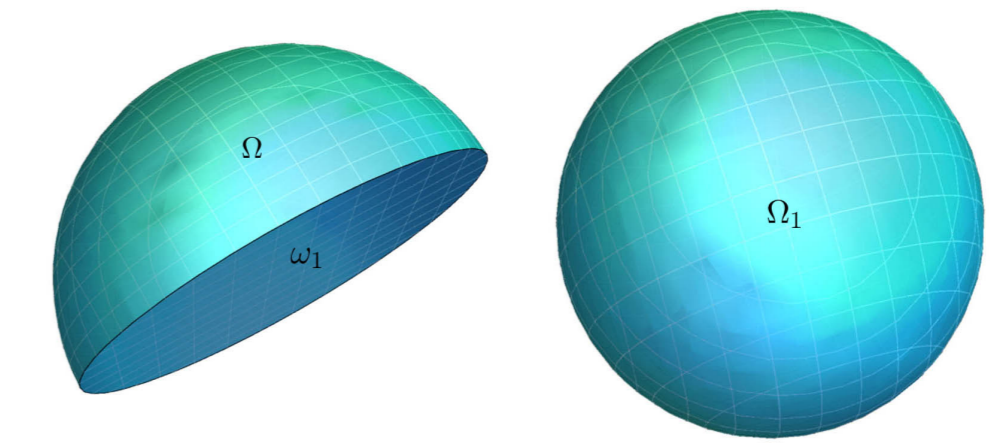
then the solution  $u$  of (3) satisfies  $u \in L^\infty(\mathbb{R}^+; V)$  so that it is unique and global in time.

Moreover, for any global weak solution  $u$  of (3), there exists  $\mathcal{T} = \mathcal{T}(u) > 0$  such that

$$u \in L^\infty(\mathcal{T}, \infty; V) \quad u_t, \Delta u, \nabla p \in L^2(\mathcal{T}, \infty; L^2(\Omega)).$$

## 4. Sketch of the proof Theorem 1

### Sectors (A) with $m = 1$



• **Auxiliary smooth domain  $\Omega_1$ .** We introduce an auxiliary problem on a  $C^{2,1}$  domain ( $\Omega_1$  with  $\omega_1 : z = 0$ )

•  **$\mathcal{E}$ -symmetrization definition.** Let  $Q_T^1 := \Omega_1 \times (0, T)$ ; a vector field  $\Psi : Q_T^1 \rightarrow \mathbb{R}^3$  with components  $\Psi_i = \Psi_i(x, y, z, t)$  ( $i = 1, 2, 3$ ) and a function  $q : Q_T^1 \rightarrow \mathbb{R}$  are  **$\mathcal{E}$ -symmetric** if for all  $(x, y, z, t) \in Q_T^1$

$$\Psi_i(x, y, z, t) = \Psi_i(x, y, -z, t) \quad (i = 1, 2) \quad \Psi_3(x, y, z, t) = -\Psi_3(x, y, -z, t), \\ q(x, y, z, t) = q(x, y, -z, t).$$

•  **$\mathcal{E}$ -symmetrization of the unknowns.** We  $\mathcal{E}$ -symmetrize on  $\Omega_1$   $u = (u_1, u_2, u_3)$  and  $p$ , denoting them  $\hat{u}$  and  $\hat{p}$ .

• **The key point.** If  $\hat{u} \in C^1(\Omega_1)$  is  $\mathcal{E}$ -symmetric with respect to the plane containing  $\omega_1 : z = 0$  (vectors  $\nu = (0, 0, 1)$  and  $\tau = (\tau_1, \tau_2, 0)$ ) then it satisfies automatically **Navier Bcs** on  $\omega_1$

$$\hat{u} \cdot \nu = u_3(x, y, 0, t) - u_3(x, y, 0, t) = 0 \quad \text{on } \omega_1 \\ \hat{u} \cdot \nu = 0 \quad \Rightarrow \quad \frac{\partial}{\partial \tau} (\hat{u} \cdot \nu) = \nabla (\hat{u} \cdot \nu) \cdot \tau = 0 \quad \text{on } \omega_1 \\ \nabla (\hat{u} \cdot \tau) \cdot \nu = \frac{\partial}{\partial z} (\hat{u} \cdot \tau) = [u_{1z}(x, y, 0, t) - u_{1z}(x, y, 0, t)]\tau_1 \\ + [u_{2z}(x, y, 0, t) - u_{2z}(x, y, 0, t)]\tau_2 = 0 \quad \text{on } \omega_1 \\ (D \hat{u} \cdot \nu) \cdot \tau = \frac{1}{2} \nabla (\hat{u} \cdot \nu) \cdot \tau + \frac{1}{2} \nabla (\hat{u} \cdot \tau) \cdot \nu = 0 \quad \text{on } \omega_1$$

• **Functional spaces.** We introduce the functional spaces

$$H^\mathcal{E} := \{v \in H(\Omega_1) : v \text{ is } \mathcal{E}\text{-symmetric}\} \\ V^\mathcal{E} := \{v \in V(\Omega_1) : v \text{ is } \mathcal{E}\text{-symmetric}\}.$$

•  **$\mathcal{E}$ -symmetrization of the data.** We  $\mathcal{E}$ -symmetrize the data  $u_0 \in H$ ,  $f \in L^2(Q_T)$ , getting  $\hat{u}_0 \in H^\mathcal{E}$ ,  $\hat{f} \in L^2(Q_T^1)$ .

• **Stokes problem on  $\Omega_1$ .** We consider as basis of  $V^\mathcal{E}$  the eigenfunctions of the problem

$$\begin{cases} -\Delta e + \nabla p = \lambda e, & \nabla \cdot e = 0 & \text{in } \Omega_1, \\ e \cdot \nu = (D e \cdot \nu) \cdot \tau = 0 & & \text{on } \partial\Omega_1. \end{cases}$$

• **Weak solutions.** We write approximate solutions and applying Galerkin method we prove the existence of **symmetric** weak solutions on  $\Omega_1$ , satisfying

$$\int_0^T \{ \mu (\nabla u(t), \nabla v)_\Omega + (u \cdot \nabla u(t), v)_\Omega \} \phi(t) dt - \int_0^T (u(t), v)_\Omega \phi'(t) dt = \\ \phi(0)(u_0, v)_\Omega + \int_0^T (f(t), v)_\Omega \phi(t) dt \quad \forall v \in V, \quad \forall \phi \in \mathcal{D}[0, T].$$

• **Further regularity on  $[0, T^*)$ .** Taking  $u_0 \in V$  (and, in turn  $\hat{u}_0 \in V^\mathcal{E}$ ), through some classical a priori bounds we infer the further regularity of the solution on  $[0, T^*)$ .

• **Uniqueness of solution.** We get the uniqueness of symmetric solution on  $\Omega_1 \times [0, T^*)$ , getting that its restriction to  $\Omega$  solves (3).

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